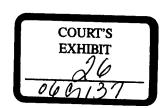
## UNITED STATES DISTRICT COURT DISTRICT OF CONNECTICUT



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DOCKET NO. 3:06CR137(CFD)

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#### <u>C</u>

RONALD E. FERGUSON	
VERDICT FORM FOR DEFENDAN	T RONALD E. FERGUSON
COUNT ONE: CONSPIRACY	
As to Count One charging Ronald E. Ferguson with c one):	onspiracy, we find the defendant (check
GUILTY	NOT GUILTY
If you found Ronald E. Ferguson guilty as to C questions:	Count One, then answer the following
Do all twelve of you agree that Ronald E. Ferg	guson conspired to commit securities fraud?
YES	NO
Do all twelve of you agree that Ronald E. Ferg made false and misleading statements in documenthe Securities Exchange Act of 1934?	guson conspired to make and cause to be ments and reports required to be filed under
X YES	NO
Do all twelve of you agree that Ronald E. Ferg falsified the books and records of a public com	guson conspired to falsify and cause to be apany?
YES	NO
Do all twelve of you agree that Ronald E. Ferg	guson conspired to commit mail fraud?
YES	NO

**COUNT TWO: SECURITIES FRAUD** 

As to Count 7 (check one):	,	securities fraud, we find the defendant
	GUILTY	NOT GUILTY
COUNT THI	REE: SECURITIES FRAUD	
As to Count T (check one):	Three charging Ronald E. Ferguson with	n securities fraud, we find the defendant
	GUILTY	NOT GUILTY
COUNT FOU	JR: SECURITIES FRAUD	
As to Count F (check one):	our charging Ronald E. Ferguson with	securities fraud, we find the defendant
	GUILTY	NOT GUILTY
COUNT FIV	E: SECURITIES FRAUD	
As to Count Fi	ve charging Ronald E. Ferguson with s	ecurities fraud, we find the defendant
	GUILTY	NOT GUILTY
COUNT SIX:	FALSE STATEMENTS TO THE S	EC
misicaumg stat	x charging Ronald E. Ferguson with material ements in documents and reports required to 1934, we find the defendant (check of	red to be filed under the Securities
	X GUILTY	NOT GUILTY

As to Count Seven charging Ronald E. Ferguson misleading statements in documents and reports and Exchange Act of 1934, we find the defendant <i>(ch</i>	equired to be filed under the Securities
GUILTY	NOT GUILTY
COUNT EIGHT: SECURITIES FRAUD	
As to Count Eight charging Ronald E. Ferguson v (check one):	vith securities fraud, we find the defendant
Y GUILTY	NOT GUILTY
COUNT NINE: SECURITIES FRAUD	
As to Count Nine charging Ronald E. Ferguson w (check one):	ith securities fraud, we find the defendant
GUILTY	NOT GUILTY
<b>COUNT TEN: SECURITIES FRAUD</b>	
As to Count Ten charging Ronald E. Ferguson wit one):	h securities fraud, we find the defendant (check
GUILTY	NOT GUILTY
COUNT ELEVEN: FALSE STATEMENTS TO	O THE SEC
As to Count Eleven charging Ronald E. Ferguson variable ading statements in documents and reports received Exchange Act of 1934, we find the defendant (check	quired to be filed under the Securities
GUILTY	NOT GUILTY

As to Count Twelve charging Ronald E. Ferguson wirmisleading statements in documents and reports require Exchange Act of 1934, we find the defendant (check of	ired to be filed under the Securities
GUILTY	NOT GUILTY
COUNT THIRTEEN: FALSE STATEMENTS TO	O THE SEC
As to Count Thirteen charging Ronald E. Ferguson we misleading statements in documents and reports required Exchange Act of 1934, we find the defendant <i>(check of the charge of the cha</i>	red to be filed under the Securities
GUILTY	NOT GUILTY
COUNT FOURTEEN: MAIL FRAUD	
As to Count Fourteen charging Ronald E. Ferguson w one):	ith mail fraud, we find the defendant (check
GUILTY	NOT GUILTY
COUNT FIFTEEN: MAIL FRAUD	
As to Count Fifteen charging Ronald E. Ferguson with one):	n mail fraud, we find the defendant (check
GUILTY	NOT GUILTY
COUNT SIXTEEN: MAIL FRAUD	
As to Count Sixteen charging Ronald E. Ferguson with one):	h mail fraud, we find the defendant (check
GUILTY	NOT GUILTY

#### DISTRICT OF CONNECTICUT

UNITED STATES OF AMERICA	DOCKET NO. 3:06CR137(CFD)	
V.		
CHRISTOPHER P. GARAND		
VERDICT FORM FOR DEFENDA	ANT CHRISTOPHER P. GARAND	
<b>COUNT ONE: CONSPIRACY</b>		
As to Count One charging Christopher P. Garand one):	with conspiracy, we find the defendant (check	
X GUILTY	NOT GUILTY	
If you found Christopher P. Garand guilty questions:	as to Count One, then answer the following	
Do all twelve of you agree that Christophe fraud?	er P. Garand conspired to commit securities	
YES	NO	
Do all twelve of you agree that Christopher P. Garand conspired to make and cause to be made false and misleading statements in documents and reports required to be filed under the Securities Exchange Act of 1934?  _X_YES  NO		
	110	
Do all twelve of you agree that Christopher P. Garand conspired to falsify and cause to be falsified the books and records of a public company?		
X YES	NO	
Do all twelve of you agree that Christophe	r P. Garand conspired to commit mail fraud?	

\_\_\_ NO

As to Count Eight charging Christopher P. Garand with securities fraud, we find the defendant (check one):			
GUILTY	NOT GUILTY		
COUNT NINE: SECURITIES FRAUD			
As to Count Nine charging Christopher P. Garand w (check one):	vith securities fraud, we find the defendant		
GUILTY	NOT GUILTY		
COUNT TEN: SECURITIES FRAUD			
As to Count Ten charging Christopher P. Garand wi (check one):	th securities fraud, we find the defendant		
<u></u> GUILTY	NOT GUILTY		
COUNT ELEVEN: FALSE STATEMENTS TO	THE SEC		
As to Count Eleven charging Christopher P. Garand misleading statements in documents and reports requested Exchange Act of 1934, we find the defendant <i>(check the charge trans)</i>	ired to be filed under the Securities		
GUILTY	NOT GUILTY		
COUNT TWELVE: FALSE STATEMENTS TO	THE SEC		
As to Count Twelve charging Christopher P. Garand and misleading statements in documents and reports Exchange Act of 1934, we find the defendant <i>(check)</i>	required to be filed under the Socurities		
GUILTY	NOT GUILTY		

\_\_\_\_ GUILTY

As to Count Thirteen charging Christopher P. Garand with making or causing to be made false and misleading statements in documents and reports required to be filed under the Securities Exchange Act of 1934, we find the defendant (check one): X GUILTY \_\_\_\_ NOT GUILTY **COUNT FOURTEEN: MAIL FRAUD** As to Count Fourteen charging Christopher P. Garand with mail fraud, we find the defendant (check one): X GUILTY NOT GUILTY **COUNT FIFTEEN: MAIL FRAUD** As to Count Fifteen charging Christopher P. Garand with mail fraud, we find the defendant (check one): ∠ GUILTY \_\_\_ NOT GUILTY **COUNT SIXTEEN: MAIL FRAUD** As to Count Sixteen charging Christopher P. Garand with mail fraud, we find the defendant (check one):

NOT GUILTY

#### DISTRICT OF CONNECTICUT

UNITED STATES OF AMERICA	DOCKET NO. 3:06CR137(CFD)
v.	

ROBERT D. GRAHAM

## VERDICT FORM FOR DEFENDANT ROBERT D. GRAHAM

COUNT	ONE: CO	<u>ONSPIRACY</u>		
As to Cou	unt One cha	arging Robert D. Graham wi	th conspiracy, we find the defendant (check	k one)
	X	_GUILTY	NOT GUILTY	
If qu	you found lestions:	Robert D. Graham guilty as	to Count One, then answer the following	
Do	o all twelve	of you agree that Robert D.	. Graham conspired to commit securities fra	aud?
	X	YES	NO	
ma	ade false an e Securities	d misleading statements in Exchange Act of 1934?	Graham conspired to make and cause to be documents and reports required to be filed to	e under
	$\times$	YES	NO	
Do fal	all twelve sified the b	of you agree that Robert D. ooks and records of a public	Graham conspired to falsify and cause to be company?	e
	X	YES	NO	
Do	all twelve	of you agree that Robert D.	Graham conspired to commit mail fraud?	
	X	YES	NO	

**COUNT TWO: SECURITIES FRAUD** 

As to Count Two charging Robert D. Graham with one):	n securities fraud, we find the defendant (check
GUILTY	NOT GUILTY
COUNT THREE: SECURITIES FRAUD	
As to Count Three charging Robert D. Graham wit (check one):	th securities fraud, we find the defendant
GUILTY	NOT GUILTY
COUNT FOUR: SECURITIES FRAUD	
As to Count Four charging Robert D. Graham with one):	securities fraud, we find the defendant (check
✓ GUILTY	NOT GUILTY
COUNT FIVE: SECURITIES FRAUD	
As to Count Five charging Robert D. Graham with <i>one</i> ):	securities fraud, we find the defendant (check
✓ GUILTY	NOT GUILTY
COUNT SIX: FALSE STATEMENTS TO THE	E SEC
As to Count Six charging Robert D. Graham with n misleading statements in documents and reports rec Exchange Act of 1934, we find the defendant <i>(chec</i>	nuired to be filed under the Securities
X GUILTY	NOT GUILTY

As to Count Seven charging Robert D. Graham will misleading statements in documents and reports re Exchange Act of 1934, we find the defendant <i>(che</i>	equired to be filed under the Securities
_X_ GUILTY	NOT GUILTY
COUNT EIGHT: SECURITIES FRAUD	
As to Count Eight charging Robert D. Graham wit (check one):	th securities fraud, we find the defendant
GUILTY	NOT GUILTY
COUNT NINE: SECURITIES FRAUD	
As to Count Nine charging Robert D. Graham with one):	h securities fraud, we find the defendant (check
GUILTY	NOT GUILTY
COUNT TEN: SECURITIES FRAUD	
As to Count Ten charging Robert D. Graham with one):	securities fraud, we find the defendant (check
GUILTY	NOT GUILTY
COUNT ELEVEN: FALSE STATEMENTS TO	O THE SEC
As to Count Eleven charging Robert D. Graham wimisleading statements in documents and reports rec Exchange Act of 1934, we find the defendant <i>(checound)</i>	quired to be filed under the Securities
<b>GUILTY</b>	NOT GUILTY

As to Count Twelve charging Robert D. Graham misleading statements in documents and reports Exchange Act of 1934, we find the defendant (c)	required to be filed under the Securities
_X_ GUILTY	NOT GUILTY
COUNT THIRTEEN: FALSE STATEMENT	TS TO THE SEC
As to Count Thirteen charging Robert D. Graham misleading statements in documents and reports Exchange Act of 1934, we find the defendant (ch	required to be filed under the Securities
GUILTY	NOT GUILTY
COUNT FOURTEEN: MAIL FRAUD	
As to Count Fourteen charging Robert D. Grahar <i>one</i> ):	m with mail fraud, we find the defendant (check
X GUILTY	NOT GUILTY
COUNT FIFTEEN: MAIL FRAUD	
As to Count Fifteen charging Robert D. Graham one):	with mail fraud, we find the defendant (check
K GUILTY	NOT GUILTY
COUNT SIXTEEN: MAIL FRAUD	
As to Count Sixteen charging Robert D. Graham one):	with mail fraud, we find the defendant (check
X GUILTY	NOT GUILTY

#### DISTRICT OF CONNECTICUT

UNITED STATES OF AMERICA	DOCKET NO. 3:06CR137(CFD)
v.	
CHRISTIAN M. MILTON	
VERDICT FORM FOR DEFENI	DANT CHRISTIAN M. MILTON
COUNT ONE: CONSPIRACY	
As to Count One charging Christian M. Milton vone):	vith conspiracy, we find the defendant (check
GUILTY	NOT GUILTY
If you found Christian M. Milton guilty a question:	s to Count One, then answer the following
Do all twelve of you agree that Christian	M. Milton conspired to commit securities fraud?
YES	NO
Do all twelve of you agree that Christian made false and misleading statements in the Securities Exchange Act of 1934?	M. Milton conspired to make and cause to be documents and reports required to be filed under
_X_ YES	NO
Do all twelve of you agree that Christian I falsified the books and records of a public	M. Milton conspired to falsify and cause to be company?
X YES	NO
Do all twelve of you agree that Christian l	M. Milton conspired to commit mail fraud?

\_\_\_NO

X YES

# COUNT TWO: SECURITIES FRAUD As to Count Two charging Christian M. Milton with securities fraud, we find the defendant (check one):

Z GUILTY \_\_\_\_NOT GUILTY

#### **COUNT THREE: SECURITIES FRAUD**

As to Count Three charging Christian M. Milton with securities fraud, we find the defendant (check one):

GUILTY \_\_\_\_NOT GUILTY

#### **COUNT FOUR: SECURITIES FRAUD**

As to Count Four charging Christian M. Milton with securities fraud, we find the defendant (check one):

GUILTY \_\_\_ NOT GUILTY

#### **COUNT FIVE: SECURITIES FRAUD**

As to Count Five charging Christian M. Milton with securities fraud, we find the defendant (check one):

GUILTY NOT GUILTY

#### **COUNT SIX: FALSE STATEMENTS TO THE SEC**

As to Count Six charging Christian M. Milton with making or causing to be made false and misleading statements in documents and reports required to be filed under the Securities Exchange Act of 1934, we find the defendant *(check one)*:

X GUILTY NOT GUILTY

As to Count Seven charging Christian M. Milton with making or causing to be made false and misleading statements in documents and reports required to be filed under the Securities Exchange Act of 1934, we find the defendant (check one):

GUILTY

NOT GUILTY

COUNT EIGHT: SECURITIES FRAUD

As to Count Eight charging Christian M. Milton with securities fraud, we find the defendant (check one):

GUILTY

NOT GUILTY

COUNT NINE: SECURITIES FRAUD

As to Count Nine charging Christian M. Milton with securities fraud, we find the defendant (check one):

GUILTY NOT GUILTY

#### **COUNT TEN: SECURITIES FRAUD**

As to Count Ten charging Christian M. Milton with securities fraud, we find the defendant (check one):

GUILTY NOT GUILTY

### **COUNT ELEVEN: FALSE STATEMENTS TO THE SEC**

As to Count Eleven charging Christian M. Milton with making or causing to be made false and misleading statements in documents and reports required to be filed under the Securities Exchange Act of 1934, we find the defendant *(check one)*:

GUILTY \_\_\_\_NOT GUILTY

As to Count Twelve charging Christian M. Milton misleading statements in documents and reports r Exchange Act of 1934, we find the defendant <i>(che</i>	equired to be filed under the Securities
X GUILTY	NOT GUILTY
COUNT THIRTEEN: FALSE STATEMENT	S TO THE SEC
As to Count Thirteen charging Christian M. Miltomisleading statements in documents and reports re Exchange Act of 1934, we find the defendant (che	equired to be filed under the Securities
GUILTY	NOT GUILTY
COUNT FOURTEEN: MAIL FRAUD	
As to Count Fourteen charging Christian M. Milto (check one):	on with mail fraud, we find the defendant
GUILTY	NOT GUILTY
COUNT FIFTEEN: MAIL FRAUD	
As to Count Fifteen charging Christian M. Milton <i>one</i> ):	with mail fraud, we find the defendant (check
GUILTY	NOT GUILTY
COUNT SIXTEEN: MAIL FRAUD	
As to Count Sixteen charging Christian M. Milton <i>one</i> ):	with mail fraud, we find the defendant (check
<u> </u>	NOT GUILTY

NOT GUILTY

#### DISTRICT OF CONNECTICUT

UNITED	STATES	ΩE	AMERICA
OMITED	2141E2	OF	AMERICA

DOCKET NO. 3:06CR137(CFD)

v.

ELIZABETH A. MONRAD

#### <u>C</u>(

<u>IZABETH A. MONRAD</u>
piracy, we find the defendant (check
NOT GUILTY
at One, then answer the following
d conspired to commit securities
NO
d conspired to make and cause to be and reports required to be filed under
NO
d conspired to falsify and cause to be?
NO
l conspired to commit mail fraud?
NO

COUNT TWO: SECURITIES FRAUD
As to Count Two charging Elizabeth A. Monrad with securities fraud, we find the defendant (check one):
GUILTYNOT GUILTY
COUNT THREE: SECURITIES FRAUD
As to Count Three charging Elizabeth A. Monrad with securities fraud, we find the defendant (check one):
GUILTYNOT GUILTY
COUNT FOUR: SECURITIES FRAUD
As to Count Four charging Elizabeth A. Monrad with securities fraud, we find the defendant (check one):
GUILTYNOT GUILTY
COUNT FIVE: SECURITIES FRAUD
As to Count Five charging Elizabeth A. Monrad with securities fraud, we find the defendant (check one):
NOT GUILTY
COUNT SIX: FALSE STATEMENTS TO THE SEC
As to Count Six charging Elizabeth A. Monrad with making or causing to be made false and misleading statements in documents and reports required to be filed under the Securities Exchange Act of 1934, we find the defendant <i>(check one)</i> :

NOT GUILTY

\_X\_GUILTY

statements in	documents and reports required to the defendant (check one):	rad or causing to be made false and misleading be filed under the Securities Exchange Act of
	GUILTY	NOT GUILTY
COUNT EIG	HT: SECURITIES FRAUD	
As to Count E (check one):	ight charging Elizabeth A. Monra	nd with securities fraud, we find the defendant
		NOT GUILTY
COUNT NIN	E: SECURITIES FRAUD	
As to Count N (check one):		d with securities fraud, we find the defendant
	X GUILTY	NOT GUILTY
COUNT TEN	: <u>SECURITIES FRAUD</u>	
As to Count To (check one):	en charging Elizabeth A. Monrad	with securities fraud, we find the defendant
	GUILTY	NOT GUILTY
COUNT ELE	VEN: FALSE STATEMENTS	TO THE SEC
misicaumg stat	even charging Elizabeth A. Monrements in documents and reports of 1934, we find the defendant <i>(ci</i>	ad with making or causing to be made false and required to be filed under the Securities heck one):
	X GUILTY	NOT GUII TV

As to Count Twelve charging Elizabeth A. Monr misleading statements in documents and reports a Exchange Act of 1934, we find the defendant (ch	required to be filed under the Securities
GUILTY	NOT GUILTY
COUNT THIRTEEN: FALSE STATEMENT	'S TO THE SEC
As to Count Thirteen charging Elizabeth A. Mon misleading statements in documents and reports a Exchange Act of 1934, we find the defendant (ch	required to be filed under the Securities
	NOT GUILTY
COUNT FOURTEEN: MAIL FRAUD	
As to Count Fourteen charging Elizabeth A. Mon (check one):	rad with mail fraud, we find the defendant
GUILTY	NOT GUILTY
COUNT FIFTEEN: MAIL FRAUD	
As to Count Fifteen charging Elizabeth A. Monra one):	d with mail fraud, we find the defendant (check
<u></u> GUILTY	NOT GUILTY

\_\_\_\_ NOT GUILTY

#### **COUNT SIXTEEN: MAIL FRAUD**

As to Count Sixteen charging Elizabeth A. Monrad with mail fraud, we find the defendant (check one):

∠ GUILTY

\_\_\_\_NOT GUILTY

Dated at Hartford, Connecticut, on this 25 day of February, 2008.

IURY FOREPERSON